

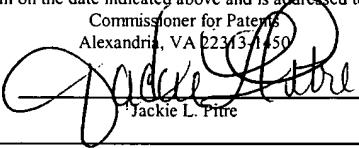
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/629,538  
Confirmation No.: 6864  
Filed: July 29, 2003  
Inventor(s):  
Lockwood et al.

Title: STRUCTURAL  
CAROTENOID ANALOGS  
FOR THE INHIBITION  
AND AMELIORATION  
OF DISEASE

§ Examiner: Solola, Taofiq A  
§ Art Unit: 1626  
§ Atty. Dkt. No: 5777-00201

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8	
DATE OF DEPOSIT: Oct 1, 2005	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated above and is addressed to: Commissioner for Patents Alexandria, VA 22313-1450	
 Jackie L. Pitre	

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450

Alexandria, VA 22313-1450

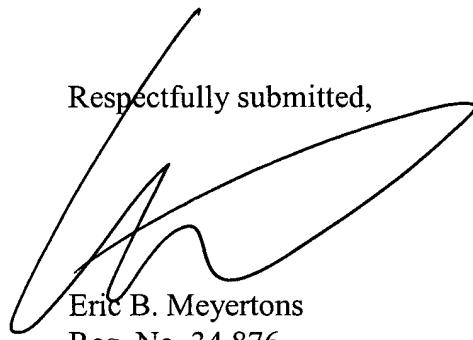
Sir:

It is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record.

10/12/2005 WASFAW1 00000037 501505 10629538  
01 FC:1806 180.00 DA

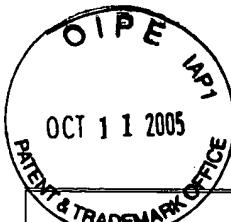
Lockwood et al.  
Appl. Ser. No.: 10/629,538  
Atty. Dckt. No.: 5777-00201

A fee authorization is included to cover the Information Disclosure Statement fee. Should any additional fees be required, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 50-1505/5777-00201/EBM.

Respectfully submitted,  
  
Eric B. Meyertons  
Reg. No. 34,876  
Attorney for Applicant(s)

MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C.  
P.O. BOX 398  
AUSTIN, TEXAS 78767-0398  
(512) 853-8800 (voice)  
(512) 853-8801 (facsimile)

Date: Oct 1, 2005



**Form PTO-1449** (modified)  
 List of Patents and Publications  
 For Applicant's Information  
 Disclosure Statement  
 (Use several sheets if necessary)

ATTY. DOCKET NO: 5777-00201

SERIAL NO: 10/629,538

APPLICANT: Lockwood et al.

GROUP: 1614

FILING DATE: July 29,2003

CONF. NO.: 6864

**U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
		6428816	06-Aug-2002	Schlipalius et al.			
		6540654	01-Apr-2003	Levy et al.			
		6399105	04-Jun-2002	Collin			
		6541519	01-Apr-2003	Collin et al.			
		5773026	30-Jun-1998	Schlipalius			

**FOREIGN PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION. YES/NO

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.



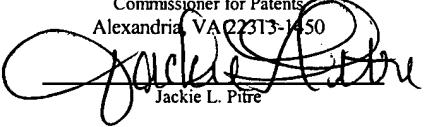
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/629,538  
Confirmation No.: 6864  
Filed: July 29, 2003  
Inventor(s):  
Lockwood et al.

Title: STRUCTURAL  
CAROTENOID ANALOGS  
FOR THE INHIBITION  
AND AMELIORATION  
OF DISEASE

§ Examiner: Waller Robin R.  
§ Art Unit: 1626  
§ Atty. Dckt. No: 5777-00201/EBM

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8	
DATE OF DEPOSIT: <i>Oct 1, 2005</i>	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated above and is addressed to: Commissioner for Patents Alexandria, VA 22313-1450	
 Jackie L. Pitre	

**FEE AUTHORIZATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

The Commissioner is hereby authorized to charge the following fees to Meyertons, Hood, Kivlin,  
Kowert & Goetzl, P.C. Deposit Account Number 50-1505/5777-00201/EBM:

\$180.00 – Information Disclosure Statement

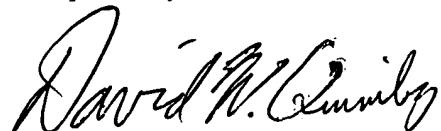
Total Amount: \$180.00

Attorney Docket No.: 5777-00201

Appl. Ser. No.: 10/629,385  
Inventor: Lockwood et al.  
Atty. Dckt. No.: 5777-00201

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number.

Respectfully submitted,



David W. Quimby  
Reg. No. 39,338

Attorney for Applicant

MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C.  
P.O. BOX 398  
AUSTIN, TX 78767-0398  
(512) 853-8800 (voice)  
(512) 853-8801 (facsimile)

Date: Oct 1, 2005